

CA High-Speed Rail Authority
FY 2013-14
Capital Outlay and Expenditure Report
February 2014

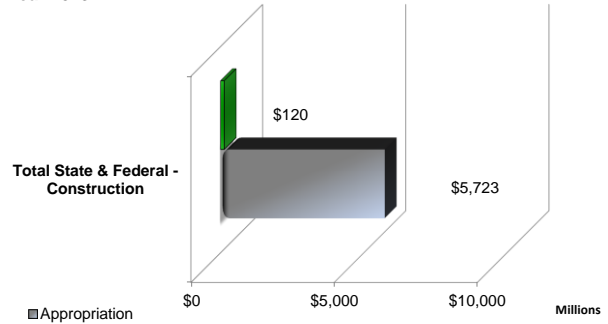
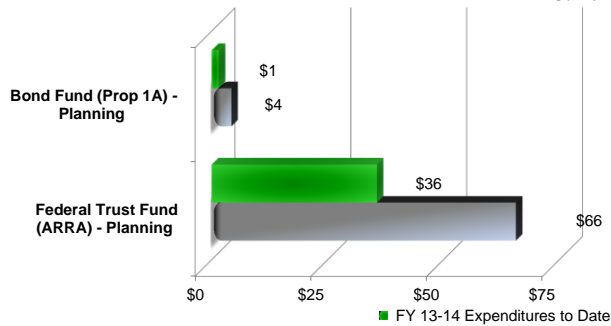


Budget Summary

Data as of December 31, 2012

<u>Current Year 2013-14</u>		Appropriation ¹ (A)	FY 13-14 Budget ² (B)	FY 13-14 Monthly Expenditures ^{3,4} (C)	FY 13-14 Expenditures to Date (D)	% Expended E = (D / B)	FY 13-14 Remaining Balance F = (B - D)	FY 2013-14 Forecast G
Notes								
Bond Fund (Prop 1A) - Planning		\$296,329,000	\$4,235,005	\$309,524	\$1,494,353	35%	\$2,740,651	\$3,198,763
Federal Trust Fund (ARRA) - Planning		\$422,000,000	\$65,637,070	\$5,596,636	\$35,628,559	54%	\$30,008,511	\$64,039,855
PLANNING SUBTOTAL		\$718,329,000	\$69,872,075	\$5,906,160	\$37,122,912	53%	\$32,749,163	\$67,238,619
Bond Fund (Prop 1A) - Construction		\$2,663,576,231	\$0	\$0	\$0	0%	\$0	\$0
Federal Trust Fund (ARRA) - Construction		\$3,059,176,231	\$429,750,079	\$24,607,566	\$119,862,774	28%	\$309,887,305	\$257,954,675
CONSTRUCTION SUBTOTAL		\$5,722,752,462	\$429,750,079	\$24,607,566	\$119,862,774	28%	\$309,887,305	\$257,954,675
TOTAL		\$6,441,081,462	\$499,622,154	\$30,513,726	\$156,985,687	31%	\$342,636,468	\$325,193,293

Current Fiscal Year 2013-14

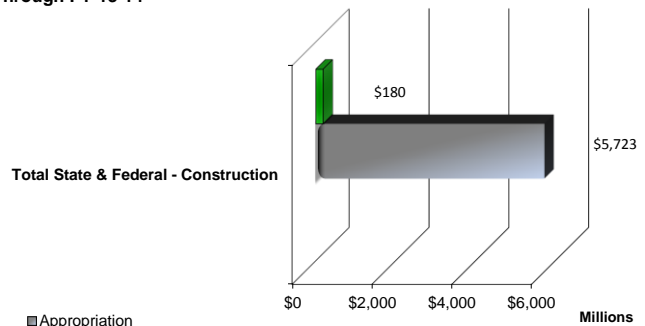
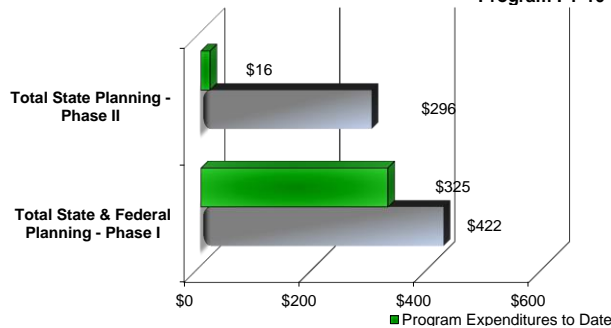


Project Summary

Data as of December 31, 2012

<u>Program to Date</u>		Appropriation (A)	Total Program Budget (B)	FY 13-14 Monthly Expenditures (C)	Total Program Expenditures to Date (D)	% Expended E = (D / B)	Remaining Balance F = (B - D)	Forecast G
Notes								
Bond Fund (Prop 1A) - Phase II - Planning		\$296,329,000	\$208,893,666	\$309,524	\$15,580,968	7%	\$193,312,698	\$124,227,494
Federal Trust & Bond Funds - Phase I - Planning		\$422,000,000	\$571,900,000	\$5,596,636	\$324,947,093	57%	\$246,952,907	\$573,846,748
PLANNING SUBTOTAL		\$718,329,000	\$780,793,666	\$5,906,160	\$340,528,061	44%	\$440,265,605	\$698,074,242
CONSTRUCTION SUBTOTAL		\$5,722,752,462	\$5,722,752,462	\$24,607,566	\$180,024,348	3%	\$5,542,728,114	\$5,734,826,255
TOTAL		\$6,441,081,462	\$6,503,546,128	\$30,513,726	\$520,552,409	8%	\$5,982,993,719	\$6,432,900,497

Program FY 10-11 Through FY 13-14



¹ Fund appropriations for Capital Outlay are available across multiple State Fiscal Years per SB 1029. Prop.1A bonds were sold in State Fiscal Year (SFY) 2009-10 and were available for use from SFY 2010-11 through SFY 2016-17. ARRA grant funds expire September 2017.

² Updated to reflect Budget Act of 2013, Items 2665-491 and 2665-492

³ Expenditures are sourced from the Funding Contribution Plan (FCP). The FCP is the quarterly report prepared for the Federal Railroad Administration (FRA). The expenditures and forecast illustrate how the provisions of the grant and the required matches will be fulfilled. Illustrates the expenditures and forecast for the Phase I environmental work and the First Construction Section (FCS) only.

⁴ Actuals paid are less than expenditures due to a lag in the State and Federal Payment process.

⁵ Expenditures are less than anticipated due to a slower ROW acquisition process.

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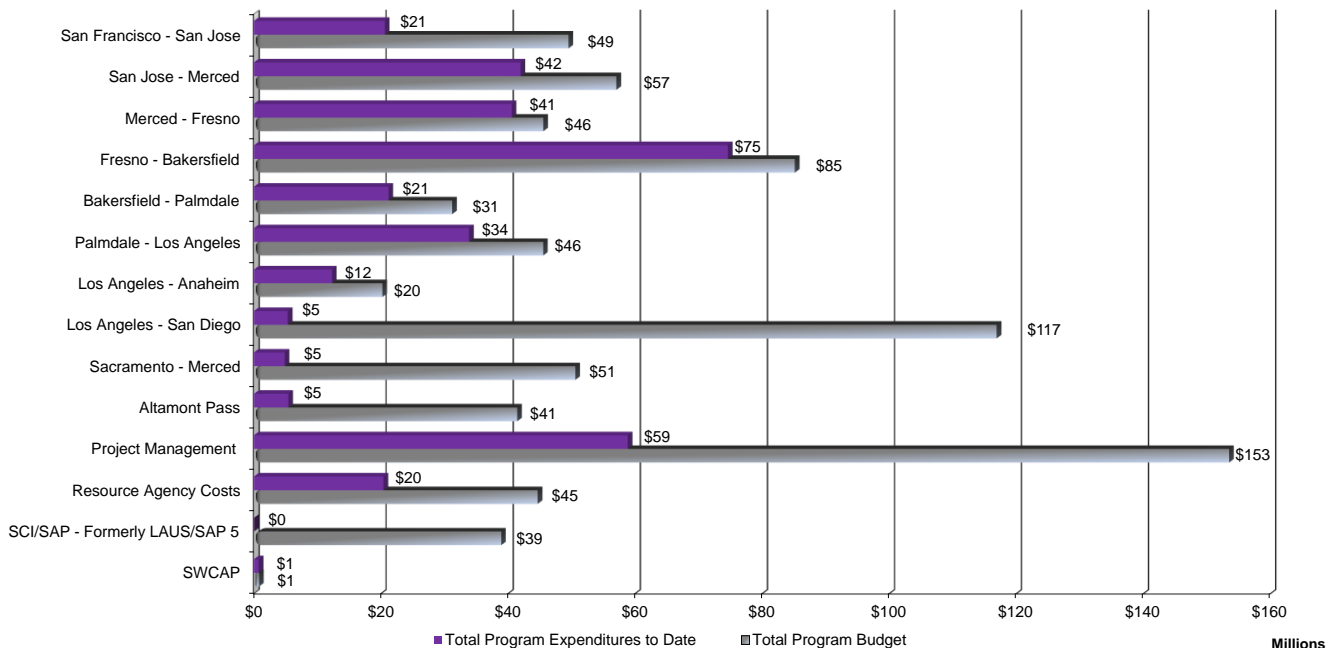
Planning - State and Federal Funds

Data as of December 31, 2012

Current Year 2013-14	Notes	Appropriation (A)	FY 2013-14 Budget (B)	FY 13-14 Monthly Expenditures (C)	FY 13-14 Expenditures to Date (D)	% Expended E = (D / B)	FY 13-14 Remaining Balance F = (B - D)	FY 2013-14 Forecast G
San Francisco - San Jose			\$54,021	\$0	\$54,021	100%	\$0	\$54,021
San Jose - Merced			\$6,244,544	\$391,061	\$3,979,416	64%	\$2,265,128	\$5,204,604
Merced - Fresno			\$5,540,128	\$442,325	\$3,064,150	55%	\$2,475,978	\$4,538,902
Fresno - Bakersfield			\$19,114,812	\$1,967,708	\$11,136,433	58%	\$7,978,379	\$19,524,699
Bakersfield - Palmdale			\$4,699,987	\$179,561	\$1,242,816	26%	\$3,457,171	\$4,311,374
Palmdale - Los Angeles			\$6,774,084	\$613,884	\$3,314,592	49%	\$3,459,492	\$5,852,192
Los Angeles - Anaheim			\$2,795,359	\$236,642	\$1,196,165	43%	\$1,599,193	\$2,583,729
Los Angeles - San Diego			\$1,206,433	\$95,238	\$364,347	30%	\$842,087	\$1,166,033
Sacramento - Merced			\$1,028,571	\$47,619	\$480,643	47%	\$547,928	\$991,112
Altamont Pass			\$2,000,000	\$166,667	\$649,364	32%	\$1,350,636	\$1,041,618
Project Management			\$15,248,262	\$1,285,294	\$8,428,330	55%	\$6,819,932	\$15,964,828
Resource Agency Costs			\$5,165,874	\$480,160	\$3,212,636	62%	\$1,953,238	\$6,005,507
SCI/SAP - Formerly LAUS/SAP			\$0	\$0	\$0	0%	\$0	\$0
SWCAP								
TOTALS		\$718,329,000	\$69,872,075	\$5,906,160	\$37,122,912	53%	\$32,749,163	\$67,238,619

Program Total	Notes	Appropriation (A)	Total Program Budget (B)	FY 13-14 Monthly Expenditures (C)	Total Program Expenditures to Date (D)	% Expended E = (D / B)	Remaining Balance F = (B - D)	Forecast G
San Francisco - San Jose			\$49,498,897	\$0	\$20,598,362	42%	\$28,900,535	\$48,625,913
San Jose - Merced			\$57,033,198	\$391,061	\$41,961,378	74%	\$15,071,820	\$55,070,211
Merced - Fresno			\$45,528,149	\$442,325	\$40,561,353	89%	\$4,966,796	\$42,036,106
Fresno - Bakersfield			\$85,115,150	\$1,967,708	\$74,585,248	88%	\$10,529,903	\$86,851,642
Bakersfield - Palmdale			\$31,196,823	\$179,561	\$21,173,017	68%	\$10,023,805	\$30,657,962
Palmdale - Los Angeles			\$45,510,811	\$613,884	\$33,844,940	74%	\$11,665,871	\$43,853,723
Los Angeles - Anaheim			\$20,209,799	\$236,642	\$12,271,461	61%	\$7,938,338	\$19,948,489
Los Angeles - San Diego			\$116,881,432	\$95,238	\$5,330,723	5%	\$111,550,709	\$80,553,375
Sacramento - Merced			\$50,578,442	\$47,619	\$4,835,228	10%	\$45,743,214	\$6,741,798
Altamont Pass			\$41,433,792	\$166,667	\$5,415,018	13%	\$36,018,775	\$36,932,321
Project Management			\$153,464,293	\$1,285,294	\$58,810,785	38%	\$94,653,508	\$110,359,823
Resource Agency Costs			\$44,647,358	\$480,160	\$20,387,526	46%	\$63,159,831	\$135,647,358
SCI/SAP - Formerly LAUS/SAP ⁵			\$38,900,000	\$0	\$0	0%	\$38,900,000	\$91,000,000
SWCAP			\$795,522	\$0	\$753,022	95%	\$42,500	\$795,522
TOTALS		\$718,329,000	\$780,793,666	\$5,906,160	\$340,528,061	44%	\$479,165,605	\$789,074,242

Program to Date Expenditures / Budget



⁵ Includes \$48,000,000 local funds for Southern California Improvements (SCI), Formerly Los Angeles Union Station, and \$4,100,000 for Station Area Planning (SAP).

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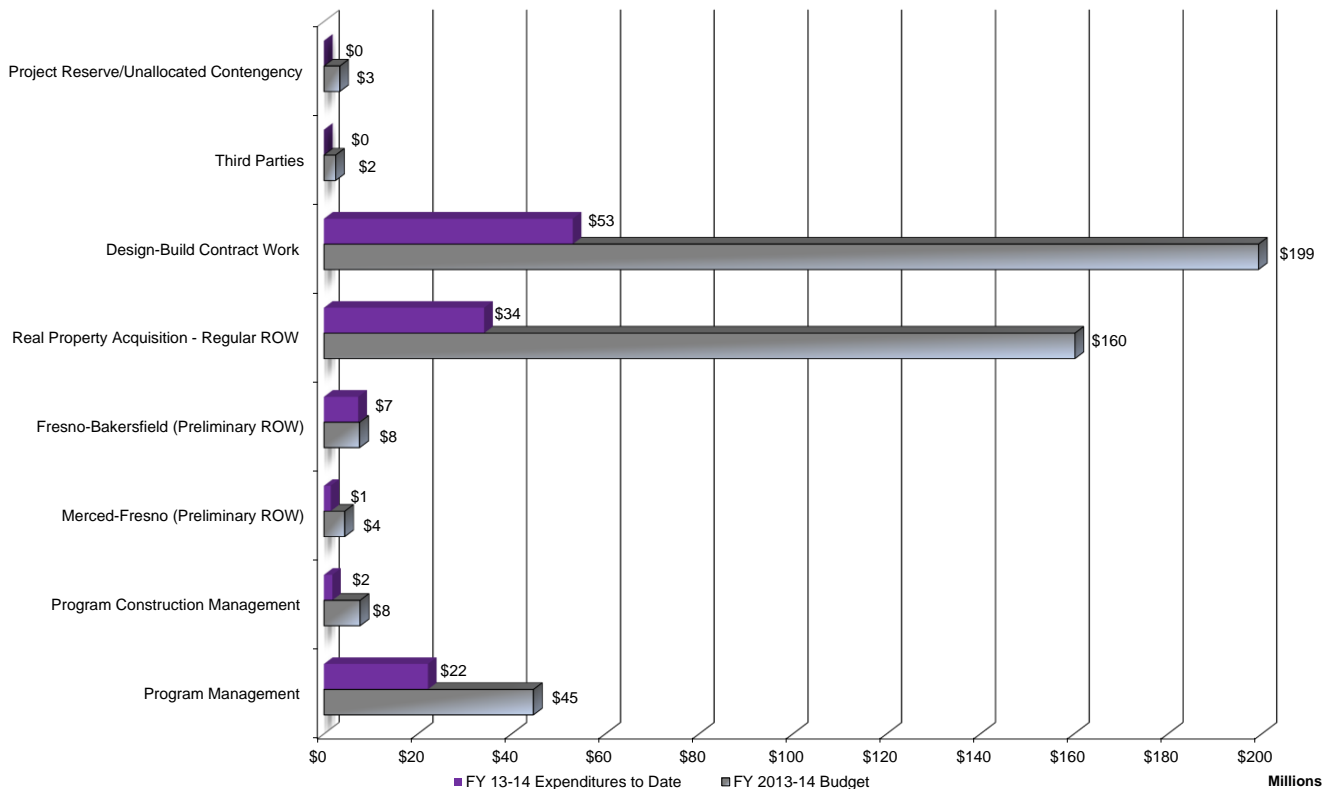
Construction - State and Federal Funds

Data as of December 31, 2012

Fiscal Year 2013-2014	Notes	Appropriation (A)	FY 2013-14 Budget (B)	FY 13-14 Monthly Expenditures (D)	FY 13-14 Expenditures to Date (D)	% Expended E = (D / B)	FY 13-14 Remaining Balance F = (B - D)	FY 2013-14 Forecast G
Program Management			\$44,683,740	\$4,193,633	\$22,167,059	50%	\$22,516,682	\$26,868,113
Program Construction Management			\$7,698,294	\$430,058	\$1,754,977	23%	\$5,943,317	\$3,961,220
Merced-Fresno (Preliminary ROW)			\$4,406,000	\$317,589	\$1,408,525	32%	\$2,997,475	\$0
Fresno-Bakersfield (Preliminary ROW) ⁶			\$7,603,487	\$1,902,559	\$7,307,790	96%	\$295,697	\$6,600,578
Real Property Acquisition - Regular ROW			\$160,197,036	\$10,948,736	\$34,164,320	21%	\$126,032,716	\$116,121,489
Design-Build Contract Work			\$199,323,169	\$6,814,991	\$53,060,104	27%	\$146,263,066	\$101,903,274
Third Party Contract Work			\$2,474,815	\$0	\$0	0%	\$2,474,815	\$2,500,000
Project Reserve/Unallocated Contingency			\$3,363,538	\$0	\$0	0%	\$3,363,538	\$0
TOTALS		\$5,722,752,462	\$429,750,079	\$24,607,566	\$119,862,774	28%	\$309,887,305	\$257,954,675

Program Total	Notes	Appropriation (A)	Total Program Budget (B)	FY 13-14 Monthly Expenditures (C)	Total Program Expenditures to Date (D)	% Expended E = (D / B)	Remaining Balance F = (B - D)	Forecast G
Program Management			\$262,883,970	\$4,193,633	\$53,243,018	20%	\$209,640,952	\$264,667,405
Program Construction Management ⁵			\$159,207,282	\$430,058	\$1,810,725	1%	\$157,396,557	\$159,207,282
Merced-Fresno (Preliminary ROW)			\$7,951,927	\$317,589	\$10,145,775	128%	-\$2,193,848	\$10,145,775
Fresno-Bakersfield (Preliminary ROW) ⁶			\$25,090,562	\$1,902,559	\$15,999,706	64%	\$9,090,856	\$33,187,073
Real Property Acquisition - Regular ROW ⁵			\$753,299,006	\$10,948,736	\$45,765,020	6%	\$707,533,987	\$753,299,006
Design-Build Contract Work ⁵			\$4,036,943,619	\$6,814,991	\$53,060,104	1%	\$3,983,883,515	\$4,036,943,619
Third Party Contract Work			\$110,500,000	\$0	\$0	0%	\$110,500,000	\$110,500,000
Project Reserve/Unallocated Contingency			\$366,876,095	\$0	\$0	0%	\$366,876,095	\$366,876,095
TOTALS		\$5,722,752,462	\$5,722,752,462	\$24,607,566	\$180,024,348	3%	\$5,542,728,114	\$5,734,826,255

Current Year 2013-14 Construction



⁵ Includes \$48,000,000 local funds for Southern California Improvements (SCI), Formerly Los Angeles Union Station, and \$4,100,000 for Station Area Planning (SAP).

⁶ Does not include recently approved change request.



California High-Speed Rail Authority 2013-14 Budget & Expenditure Summary Proposition 1A - Planning

February 2014

Bond Fund
2665-301-6043

FY 2013-14 Planning and Preliminary Engineering		Appropriation	FY 2013-14 Budget ⁸	FY 2013-14 Monthly Expenditures	FY 2013-14 YTD Expenditures	% of Expended	FY 2013-14 Remaining Balance ⁷	FY 13-14 Forecast
Sections	Notes	(A)	(B)	(C)	(D)	E	F	G
		\$ 296,329,000						
San Francisco - San Jose			\$0	\$0	\$0	0%	\$0	\$0
San Jose - Merced			\$0	\$0	\$0	0%	\$0	\$0
Merced - Fresno			\$0	\$0	\$0	0%	\$0	\$0
Fresno - Bakersfield			\$0	\$0	\$0	0%	\$0	\$0
Bakersfield - Palmdale			\$0	\$0	\$0	0%	\$0	\$0
Palmdale - Los Angeles			\$0	\$0	\$0	0%	\$0	\$0
Los Angeles - Anaheim			\$0	\$0	\$0	0%	\$0	\$0
Los Angeles - San Diego			\$1,206,433	\$95,238	\$364,347	30%	\$842,087	\$1,166,033
Sacramento - Merced			\$1,028,571	\$47,619	\$480,643	47%	\$547,928	\$991,112
Altamont Pass			\$2,000,000	\$166,667	\$649,364	32%	\$1,350,636	\$1,041,618
Project Management Costs			\$0	\$0	\$0	0%	\$0	\$0
Resource Agency Costs			\$0	\$0	\$0	0%	\$0	\$0
SCI/SAP - Formerly LAUS/SAP			\$0	\$0	\$0	0%	\$0	\$0
SWCAP			\$0	\$0	\$0	0%	\$0	\$0
TOTAL		\$296,329,000	\$4,235,005	\$309,524	\$1,494,353	35%	\$2,740,651	\$3,198,763

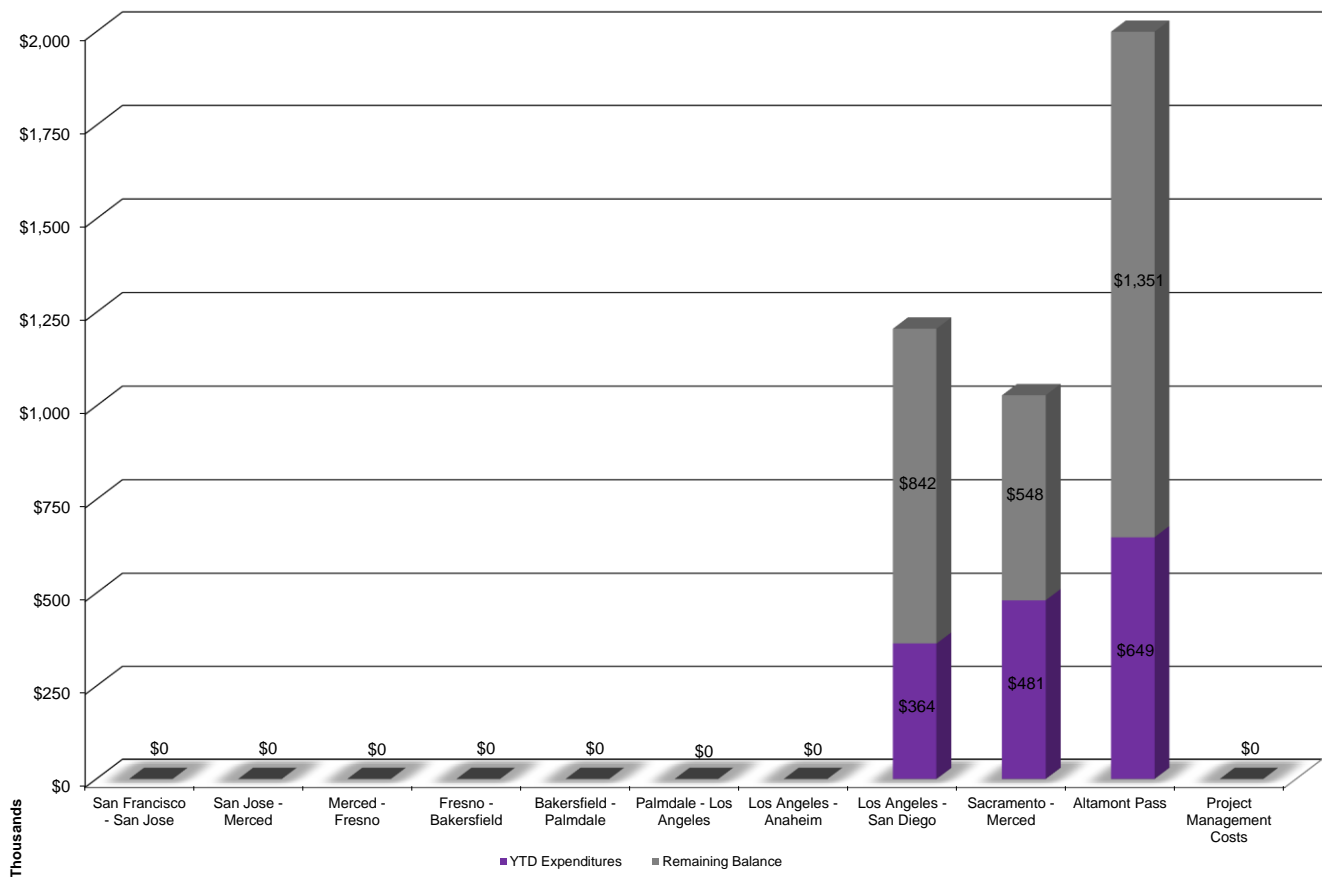
Notes:

Monthly Expenditures thru December 2013

⁷ Remaining Balance is FY Budget less YTD Expenditures

⁸ Budget is submitted AWP.

Proposition 1A - Planning





California High-Speed Rail Authority 2013-14 Budget & Expenditure Summary Federal Trust Fund - Planning

February 2014

Federal Trust Fund
2665-301-0890

FY 2013-14 Planning and Preliminary Engineering		Appropriation	FY 2013-14 Budget ⁸	FY 2013-14 Monthly Expenditures	FY 2013-14 YTD Expenditures	% of Expended	FY 2013-14 Remaining Balance ⁷	FY 13-14 Forecast
Sections	Notes	(A)	(B)	(C)	(D)	E	F	G
		\$ 422,000,000						
San Francisco - San Jose			\$54,021	\$0	\$54,021	100%	\$0	\$54,021
San Jose - Merced			\$6,244,544	\$391,061	\$3,979,416	64%	\$2,265,128	\$5,204,604
Merced - Fresno			\$5,540,128	\$442,325	\$3,064,150	55%	\$2,475,978	\$4,538,902
Fresno - Bakersfield			\$19,114,812	\$1,967,708	\$11,136,433	58%	\$7,978,379	\$19,524,699
Bakersfield - Palmdale			\$4,699,987	\$179,561	\$1,242,816	26%	\$3,457,171	\$4,311,374
Palmdale - Los Angeles			\$6,774,084	\$613,884	\$3,314,592	49%	\$3,459,492	\$5,852,192
Los Angeles - Anaheim			\$2,795,359	\$236,642	\$1,196,165	43%	\$1,599,193	\$2,583,729
Los Angeles - San Diego			\$0	\$0	\$0	0%	\$0	\$0
Sacramento - Merced			\$0	\$0	\$0	0%	\$0	\$0
Altamont Pass			\$0	\$0	\$0	0%	\$0	\$0
Project Management Costs			\$15,248,262	\$1,285,294	\$8,428,330	55%	\$6,819,932	\$15,964,828
Resource Agency Costs			\$5,165,874	\$480,160	\$3,212,636	62%	\$1,953,238	\$6,005,507
SCI/SAP - Formerly LAUS/SAP								
SWCAP			\$0	\$0	\$0	0%	\$0	\$0
TOTAL		\$422,000,000	\$65,637,070	\$5,596,636	\$35,628,559	54%	\$30,008,511	\$64,039,855

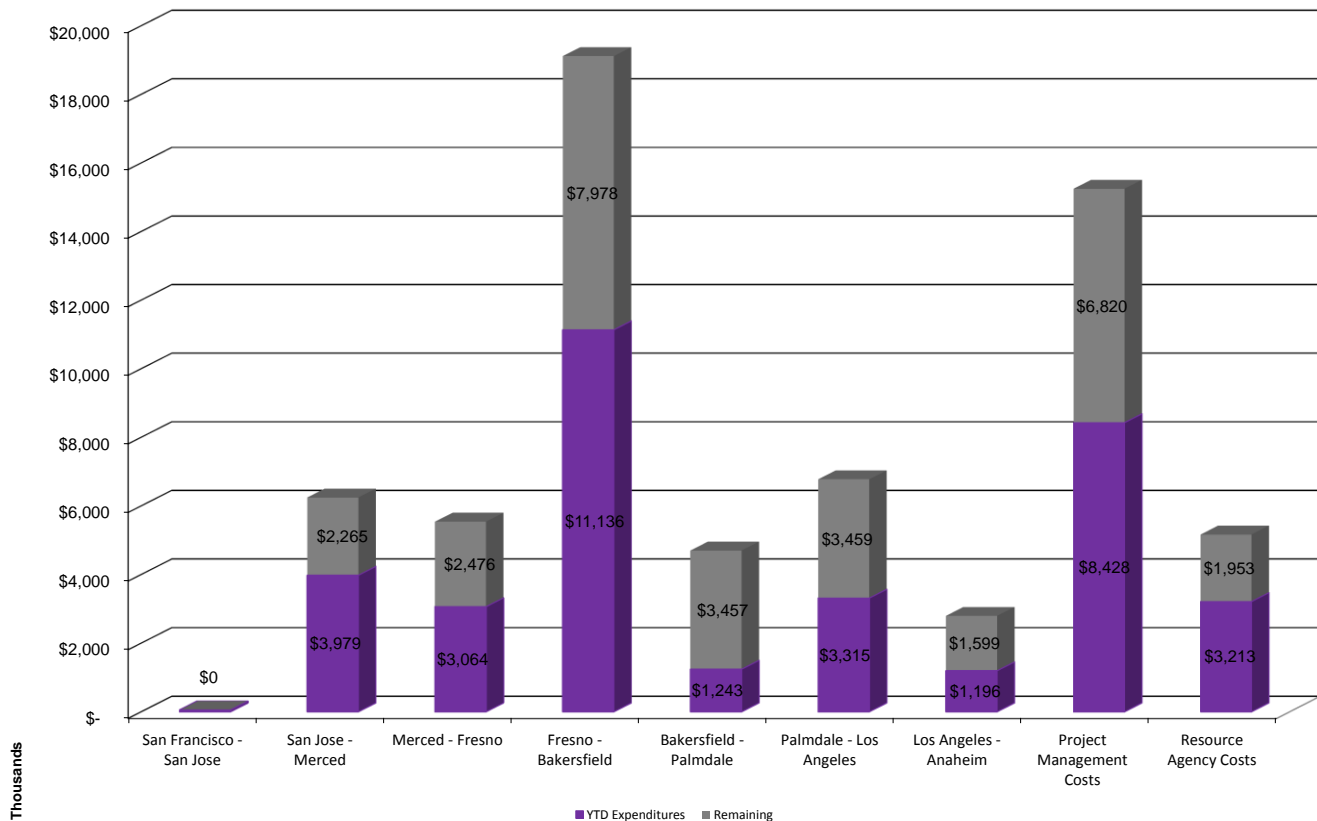
Notes:

Monthly Expenditures thru December 2013

⁷ Remaining Balance is Budget less YTD Expenditures

⁸ Budget is submitted AWP.

Federal Trust Fund - Planning





California High-Speed Rail Authority 2013-14 Budget & Expenditure Summary Proposition 1A - Construction

February 2014

Bond Fund
2665-306-6043

FY 2013-14 Planning and Preliminary Engineering		Appropriation	FY 2013-14 Budget	FY 2013-14 Monthly Expenditures	FY 2013-14 YTD Expenditures	% of Expended	FY 2013-14 Remaining Balance ⁷	FY 13-14 Forecast
Sections	Notes	(A)	(B)	(C)	(D)	E	F	G
		\$ 2,663,576,231						
Project Management Costs	⁸		\$0	\$0	\$0	0%	\$0	\$0
Program Construction Management	⁸		\$0	\$0	\$0	0%	\$0	\$0
Merced-Fresno (Preliminary ROW)	⁸		\$0	\$0	\$0	0%	\$0	\$0
Fresno-Bakersfield (Preliminary ROW)	⁸		\$0	\$0	\$0	0%	\$0	\$0
Real Property Acquisition - Regular ROW	⁸		\$0	\$0	\$0	0%	\$0	\$0
Design-Build Contract Work	⁸		\$0	\$0	\$0	0%	\$0	\$0
Third Party Contracts	⁸		\$0	\$0	\$0	0%	\$0	\$0
Project Reserve/Unallocated Contingency	⁸		\$0	\$0	\$0	0%	\$0	\$0
TOTAL		\$2,663,576,231	\$0	\$0	\$0	0%	\$0	\$0

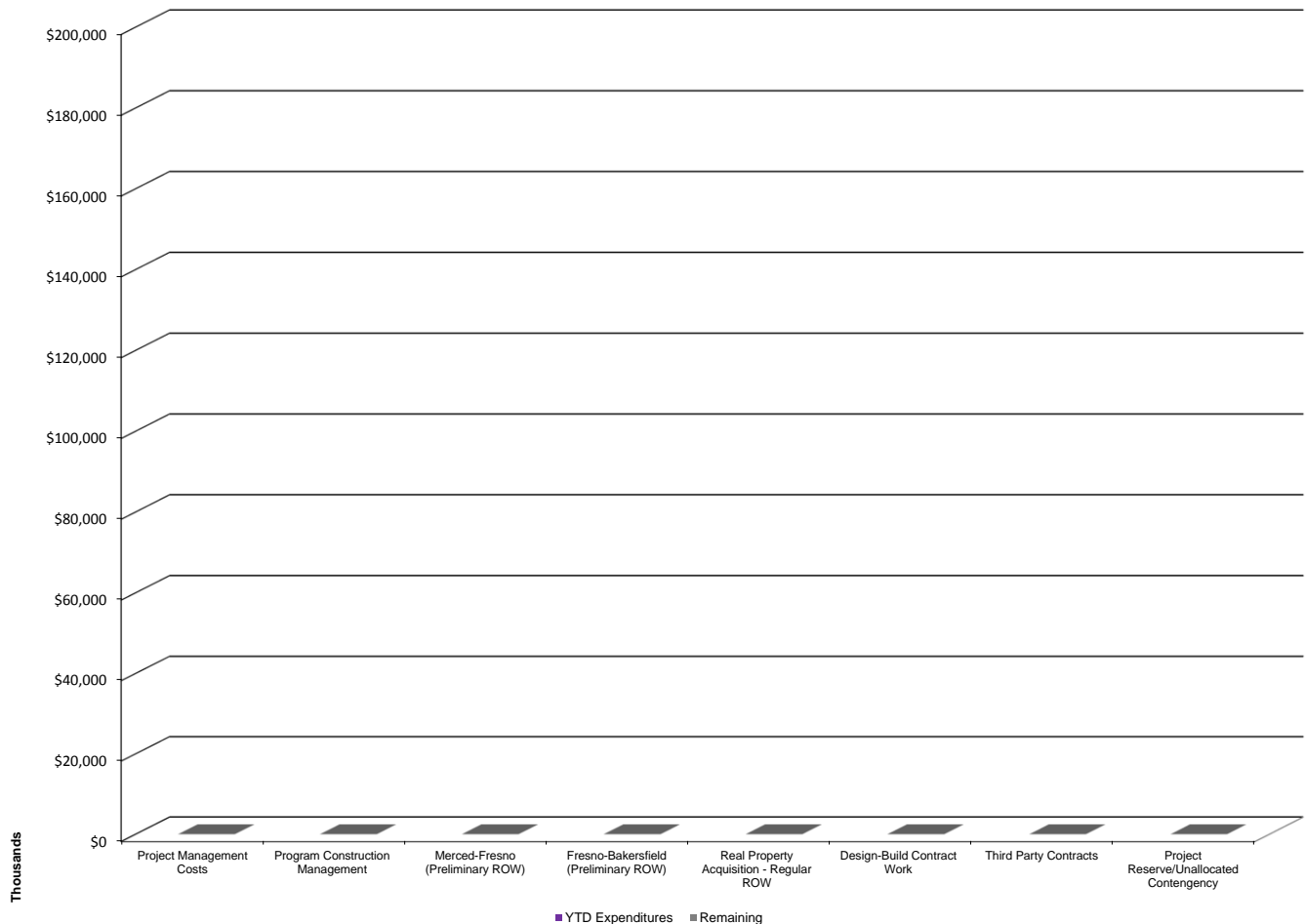
Notes:

Monthly Expenditures thru December 2013 and there are no anticipated State expenditures for FY 13/14.

⁷ Remaining Balance is Budget less YTD Expenditures

⁸ Budget is an estimate based on the preliminary draft Funding Contribution Plan.

Proposition 1A - Construction





California High-Speed Rail Authority 2013-14 Budget & Expenditure Summary Federal Trust Fund - Construction

February 2014

Federal Trust Fund
2665-306-0890

FY 2013-14 Planning and Preliminary Engineering		Appropriation	FY 2013-14 Budget	FY 2013-14 Monthly Expenditures	FY 2013-14 YTD Expenditures	% of Expended	FY 2013-14 Remaining Balance ⁷	FY 13-14 Forecast
Sections	Notes	(A)	(B)	(C)	(D)	E	F	G
		\$3,059,176,231						
Project Management Costs	⁸		\$44,683,740	\$4,193,633	\$22,167,059	49.6%	\$22,516,682	\$26,868,113
Program Construction Management	⁸		\$7,698,294	\$430,058	\$1,754,977	22.8%	\$5,943,317	\$3,961,220
Merced-Fresno (Preliminary ROW)	⁸		\$4,406,000	\$317,589	\$1,408,525	32.0%	\$2,997,475	\$0
Fresno-Bakersfield (Preliminary ROW)	⁸		\$7,603,487	\$1,902,559	\$7,307,790	96.1%	\$295,697	\$6,600,578
Real Property Acquisition - Regular ROW	⁸		\$160,197,036	\$10,948,736	\$34,164,320	21.3%	\$126,032,716	\$116,121,489
Design-Build Contract Work	⁸		\$199,323,169	\$6,814,991	\$53,060,104	26.6%	\$146,263,066	\$101,903,274
Third Party Contracts	⁸		\$2,474,815	\$0	\$0	0.0%	\$2,474,815	\$2,500,000
Project Reserve/Unallocated Contingency	⁸		\$3,363,538	\$0	\$0	0.0%	\$3,363,538	\$0
TOTAL		\$3,059,176,231	\$429,750,079	\$24,607,566	\$119,862,774	27.9%	\$309,887,305	\$257,954,675

Notes:

Monthly Expenditures thru December 2013

⁷ Remaining Balance is Budget less YTD Expenditures

⁸ Budget is an estimate based on the preliminary draft Funding Contribution Plan.

Federal Trust Fund - Construction

